

---

# EXPANDING QUANTUM FIELD THEORY USING AFFINE QUANTIZATION

---

- *What is affine quantization?*
  - *Eliminating nonrenormalization*
  - *An essential Fourier transform*
- 



**John R. Klauder**



# CANONICAL QUANTIZATION – 1

## Basic variables and their relations

$$-\infty < p, q < \infty, \quad p, q \rightarrow P(=P^\dagger), Q(=Q^\dagger), \quad [Q, P] = i\hbar \mathbb{1}$$
$$|p, q\rangle = e^{-iqP/\hbar} e^{ipQ/\hbar} |\omega\rangle, \quad \langle\omega| (Q + iP/\omega) |\omega\rangle = 0$$

😊 **Dirac** : Having  $\mathcal{H}(p, q) = H(p, q)$ , requires Cartesian coordinates in order to obtain physically correct operators!

$$H(p, q) = \langle p, q | \mathcal{H}(P, Q) | p, q \rangle$$
$$= \langle\omega| \mathcal{H}(P + p, Q + q) |\omega\rangle = \mathcal{H}(p, q) + \mathcal{O}(\hbar; p, q)$$
$$d\sigma^2 = 2\hbar^2 [\|d|p, q\rangle\|^2 - |\langle p, q | d|p, q\rangle|^2], \quad \mathcal{F} - \mathcal{S}$$
$$= \omega^{-1} dp^2 + \omega dq^2 \quad \text{😊}$$

**CQ** → flat surface = constant zero curvature := 0

# CANONICAL QUANTIZATION – 2

## Classical physics

$$-\infty < p, q < \infty$$

$$A = \int [p(t) \dot{q}(t) - H(p(t), q(t))] dt$$

## Favored variables

$$p \rightarrow P (= P^\dagger) \quad , \quad q \rightarrow Q (= Q^\dagger) \quad ; \quad [Q, P] = i\hbar \mathbb{1}$$

## Schrödinger's representation

$$Q \rightarrow x \in \mathbb{R} \quad , \quad P \rightarrow -i\hbar(\partial/\partial x)$$

## Schrödinger's equation

$$i\hbar \partial \psi(x, t) / \partial t = H(-i\hbar \partial / \partial x, x) \psi(x, t)$$



$$\int_{-\infty}^{\infty} |\psi(x, t)|^2 dx < \infty$$

# CANONICAL QUANTIZATION – 3

## Classical physics

$$-\infty < p < \infty, \quad 0 < q < \infty, \quad H(p, q) = [p^2 + q^2]/2$$

$$A = \int [p(t) \dot{q}(t) - H(p(t), q(t))] dt$$

## Favored variables

$$p \rightarrow P (\neq P^\dagger), \quad q \rightarrow Q (= Q^\dagger > 0), \quad [Q, P] = i\hbar \mathbb{1}$$

## Hamiltonian operator(s)

$$H_0 = [PP^\dagger + Q^2]/2 \neq [P^\dagger P + Q^2]/2 = H_1$$

## Hamiltonian spectra

$$E_0 = \hbar[(0, 2, 4, 6, \dots) + 1/2], \quad E_1 = \hbar[(1, 3, 5, 7, \dots) + 1/2]$$

$$E = \hbar[(0, 2, 3, 5, 7, 8, 10, \dots) + 1/2]$$

**CANONICAL QUANTIZATION FAILS**



# AFFINE QUANTIZATION – 1

## Basic variables and relations

$$-\infty < p < \infty, \quad 0 < q < \infty, \quad p, q \rightarrow P(\neq P^\dagger), Q(= Q^\dagger > 0)$$

$$d \equiv pq \rightarrow (P^\dagger Q + QP)/2 \equiv D(= D^\dagger), \quad [Q, D] = i\hbar Q$$

$$|p; q\rangle = e^{ipQ/\hbar} e^{-i \ln(q)D/\hbar} |b\rangle, \quad \langle b | [(Q - \mathbb{1}) + iD/b] |b\rangle = 0$$

## Favored affine coordinates

$$H'(pq, q) = \langle p; q | \mathcal{H}'(D, Q) |p; q\rangle \quad q > 0$$

$$= \langle b | \mathcal{H}'(D + pqQ, qQ) |b\rangle = \mathcal{H}'(pq, q) + \mathcal{O}'(\hbar; p, q)$$

$$d\sigma^2 = 2\hbar^2 [d|p; q\rangle \|^2 - |\langle p; q | d|p; q\rangle|^2] = b^{-1} q^2 dp^2 + b q^{-2} dq^2$$

$$\mathbf{AQ} \rightarrow \text{constant negative curvature} : = -2/b$$

# AFFINE QUANTIZATION – 2

## Classical variables

$$-\infty < p < \infty, \quad 0 < q < \infty, \quad H' = [(pq)^2/q^2 + q^2]/2$$

$$A = \int \{p(t)q(t)[\dot{q}(t)/q(t)] - H'(p(t)q(t), q(t))\} dt$$

## Favored variables

$$p \rightarrow P (\neq P^\dagger) \text{ [but } P^\dagger Q = PQ], \quad q \rightarrow Q (= Q^\dagger > 0)$$

$$d \equiv pq \rightarrow (PQ + QP)/2 \equiv D (= D^\dagger), \quad [Q, D] = i\hbar Q$$

$$\int_a^b [g^*(x)f(x)]' dx = \int_a^b [g^*(x)'f(x) + g^*(x)f(x)'] dx = g^*(b)f(b) - g^*(a)f(a)$$

$$g^*(b), g^*(a) \quad \underline{f(b) = 0, f(a) = 0} \quad \underline{i \int_a^b g^*(x)'f(x) dx = -i \int_a^b g^*(x)f(x)' dx}$$

## Schrödinger's representation

$$Q \rightarrow x \in \mathbb{R}^+, \quad D \rightarrow -i\hbar[(\partial/\partial x)x + x(\partial/\partial x)]/2$$

## Schrödinger's equation

$$i\hbar \partial \psi(x, t)/\partial t = H'(-i\hbar[(\partial/\partial x)x + x(\partial/\partial x)]/2, x) \psi(x, t)$$

😊 Master eq. (Me) :  $D x^{-1/2} = 0$ ,  $\int_0^\infty |\psi(x, t)|^2 dx < \infty$

# AFFINE QUANTIZATION – 3

## The half – harmonic oscillator

$$-\infty < d \equiv pq < \infty , \quad 0 < q < \infty$$

**Classical Hamiltonian**  $H'(d, q) = (d^2/q^2 + q^2)/2$

**Quantum Hamiltonian**  $\mathcal{H}'(D, Q) = (DQ^{-2}D + Q^2)/2$

## Schrödinger's representation

$$Q \rightarrow x \in \mathbb{R}^+ , \quad D \rightarrow -i\hbar[(\partial/\partial x)x + x(\partial/\partial x)]/2 \quad (\dots)$$

**Hamiltonian**  $\mathcal{H} = [-\hbar^2(\partial^2/\partial x^2) + (3/4)\hbar^2/x^2 + x^2]/2$

**Eigenvalues**  $2\hbar[(0,1,2,\dots) + 1] , \quad (\hbar[(0,1,2,\dots) + 1/2])$

*L. Gouba, arXiv : 2005.08696*





# CLASSICAL\* FIELD THEORY – 1

## classical, and initial quantum issues

$$\pi(x), \varphi(x), \quad x \in \mathbb{R}^s \quad (CQ)$$

$$H(\pi, \varphi) = \int \{ [\pi(x)^2 + (\vec{\nabla} \varphi(x))^2 + m^2 \varphi(x)^2] / 2 + g \varphi(x)^r \} d^s x$$

$r =$  power of interaction term

$n = s + 1$ , number of spacetime dimensions

$r < 2n/(n - 2)$  leads to renormalizable behavior

$r \geq 2n/(n - 2)$  leads to nonrenormalizable behavior

MC :  $\varphi_n^r$  :  $\varphi_3^4 \uparrow$ ,  $\varphi_4^4 \downarrow$ ,  $\varphi_3^{12} \downarrow$  (nf, f, f)

**domain( $g = 0$ )  $\geq$  domain( $g > 0$ )**



# CLASSICAL\* FIELD THEORY – 2

**affine, and initial quantum issues**

$$\kappa(x) \equiv \pi(x) \varphi(x) \quad , \quad \varphi(x) \neq 0 \quad (AQ)$$

$$H'(\kappa, \varphi) = \int \{ [\kappa(x)^2 \varphi(x)^{-2} + (\vec{\nabla} \varphi(x))^2 + m^2 \varphi(x)^2 ] / 2 + g \varphi(x)^r \} d^s x$$

*r = power of interaction term*

*n = s + 1, number of spacetime dimensions*

$$MC : \varphi_n^r : \varphi_3^4 \uparrow , \varphi_4^4 \uparrow , \varphi_3^{12} \uparrow \quad (nf, nf, nf)$$



**A legitimate pair of classical variables**



# CLASSICAL\* FIELD THEORY – 3

## affine and canonical quantum issues

$$(AQ) \quad \kappa(x) \equiv \pi(x) \varphi(x) , \quad \varphi(x) \neq 0$$

$$H'(\kappa, \varphi) = \int \{ [\kappa(x)^2 \varphi(x)^{-2} + (\vec{\nabla} \varphi(x))^2 + m^2 \varphi(x)^2] / 2 + g \varphi(x)^r \} d^s x$$

$$\varphi(x) \neq 0 \rightarrow 0 < \varphi(x)^{-2} < \infty \rightarrow 0 < |\varphi(x)|^r < \infty$$



### RENORMALIZABLE

$$\{ \int \varphi(x)^r d^n x \}^{2/r} < or = or > \{ \int [(\vec{\nabla} \varphi(x))^2 + m^2 \varphi(x)^2] / 2 d^n x \}$$

leads to

$$(CQ) \quad \begin{array}{ll} r < 2n/(n-2) & \text{renormalizable} \\ r \geq 2n/(n-2) & \text{nonrenormalizable} \end{array}$$

### NONRENORMALIZABLE

# QUANTUM FIELD THEORY – 1

**Schrödinger representation :  $\hat{\varphi}(x) = \varphi(x) \neq 0$**

$$\hat{k}(x) = -i\hbar[\varphi(x) (\partial/\partial\varphi(x)) + (\partial/\partial\varphi(x)) \varphi(x)]/2$$

**such that  $\hat{k}(x) \varphi(x)^{-1/2} = 0$  ,  $\hat{k}(x) \Pi_y \varphi(y)^{-1/2} = 0$**

*Me*

$$|\varphi(x)^{-1/2}\rangle \rightarrow \varphi(x)^{-1/2} \quad , \quad \Pi_y |\varphi(y)^{-1/2}\rangle \rightarrow \Pi_y \varphi(y)^{-1/2}$$

**Selected wave functions :  $y \in \mathbb{R}^s$**

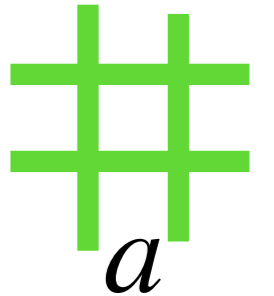
$$\Phi(\varphi) = \Pi_y \int v(\varphi(y)) \varphi(y)^{-1/2} dy$$

$$\Psi(\varphi) = \Pi_y \int w(\varphi(y)) \varphi(y)^{-1/2} dy$$

$$\int \Phi(\varphi)^* \Psi(\varphi) \mathcal{D}(\varphi) = \Pi_y \int v(\varphi(y))^* w(\varphi(y)) \varphi(y)^{-1} d\varphi(y)$$

# QUANTUM FIELD THEORY – 2

**A regularized representation**  $k \in a\mathbb{Z}^s$



$$\hat{\varphi}_k = \varphi_k, \quad \hat{\kappa}_k = -i\hbar [ \varphi_k (d/d\varphi_k) + (d/d\varphi_k) \varphi_k ] / 2a^s$$

😊  $\hat{\kappa}_k \varphi_k^{-1/2} = 0$ ,  $\hat{\kappa}_k \Pi_l \varphi_l^{-1/2} = 0$  Me 😊

**Selected regularized wave functions;  $(ba^s)^{1/2}$**

$$\Phi(\varphi) = \Pi_k v(\varphi_k) \varphi_k^{-(1-2ba^s)/2}, \quad \Psi(\varphi) = \Pi_k w(\varphi_k) \varphi_k^{-(1-2ba^s)/2}$$

$$\Pi_k \int \Phi(\varphi_k)^* \Psi(\varphi_k) d\varphi_k = \Pi_k \int v(\varphi_k)^* w(\varphi_k) \varphi_k^{-(1-2ba^s)} d\varphi_k$$

---

# QUANTUM FIELD THEORY – 3

---

## Schrödinger's equation

$$i\hbar(\partial/\partial t) \Psi(\varphi, t) = \mathcal{H}(\hat{k}, \varphi) \Psi(\varphi, t)$$

**A regularized and normalized |solution|<sup>2</sup>**

$$1 = \prod_k ba^s \int \{ |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \}$$



## Introduction of a Fourier transform



$$F(f) = \prod_k ba^s \int \{ e^{i2\pi f_k \varphi_k} |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \}$$



$$F(0) = \prod_k ba^s \int \{ |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \}$$



---

# QUANTUM FIELD THEORY – 4

---

$$\Psi(\varphi) = \prod_k w(\varphi_k) (ba^s)^{1/2} \varphi_k^{-(1-2ba^s)/2}$$

$$F(0) = 1 = \prod_k \{ ba^s \int |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \}$$

$$F(f) = \prod_k \{ 1 - ba^s \int (1 - e^{i2\pi f_k \varphi_k}) |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \}$$



**The continuum limit:**  $a \rightarrow 0$



$$F(f) = \exp\{ -b \int d^s x \int (1 - e^{i2\pi f(x)\lambda}) |w(\lambda)|^2 |\lambda|^{-1} d\lambda \}$$



---

**This is a Poisson distribution**

# QUANTUM FIELD THEORY – 5

## This is an example of the CENTRAL LIMIT THEOREM

$$F(f) = \exp\left\{ -b \int d^s x \int (1 - e^{i2\pi f(x)\lambda}) |w(\lambda)|^2 |\lambda|^{-1} d\lambda \right\}$$



**NOTE : If  $g = 0$ ,  $F(f)$  is NOT a Gaussian!**



**A legitimate pair of quantum variables**

$$\hat{k}(x) \quad \& \quad \hat{\varphi}(x) = \varphi(x) \neq 0$$



$$\varphi(x) \neq 0 \rightarrow \underline{0 < \varphi(x)^{-2} < \infty} \rightarrow \underline{0 < |\varphi(x)|^r < \infty}$$



$$0 \leq |w(\lambda)|^2 < \infty$$



**NO NONRENORMALIZABILITY**





# QUANTUM FIELD THEORY – 6

## What leads to the final AQ result?

**Normalization:**  $1 = \prod_k \int \{ b a^s |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \}$

**Components:**

$$d |\varphi_k|^{2ba^s} = 2ba^s |\varphi_k|^{-(1-2ba^s)} d\varphi_k \quad (\text{positive derivative})$$

$$0 \leq |w(\varphi_k)|^2 < \infty \quad (\text{well behaved})$$

$$F(f) = \prod_k b a^s \int \{ e^{i2\pi f_k \varphi_k} |w(\varphi_k)|^2 |\varphi_k|^{-(1-2ba^s)} d\varphi_k \} \quad (\text{initial})$$

😊😊  $F(f) = \exp\{ -b \int d^s x \int (1 - e^{i2\pi f(x)\lambda}) |w(\lambda)|^2 |\lambda|^{-1} d\lambda \} \quad (\text{final})$

**Bonus: NO NONRENORMALIZABILITY**

# QUANTUM FIELD THEORY – 7

Suppose we choose  $\kappa'(x) = \pi(x) (\varphi(x)^2 - 1)$

$$H'(\kappa', \varphi) = \int \{ [\kappa'(x)^2 (\varphi(x)^2 - 1)^{-2} + (\vec{\nabla} \varphi(x))^2 + m^2 \varphi(x)^2] / 2 + g (\varphi(x)^2 - 1)^r \} d^s x$$

To quantize this we use  $\hat{\varphi}(x) \rightarrow \varphi(x)$

$$\hat{\kappa}'(x) = [\hat{\pi}(x) (\varphi(x)^2 - 1) + (\varphi(x)^2 - 1) \hat{\pi}(x)] / 2$$

$$\mathcal{H}(\hat{\kappa}', \varphi) = \int \{ [\hat{\kappa}'(x) (\varphi(x)^2 - 1)^{-2} \hat{\kappa}'(x) + (\vec{\nabla} \varphi(x))^2 + m^2 \varphi(x)^2] / 2 + g (\varphi(x)^2 - 1)^r \} d^s x$$

This now requires that  $(\varphi(x)^2 - 1) \neq 0$

$$0 < (\varphi(x)^2 - 1)^{-2} < \infty \rightarrow 0 < |(\varphi(x)^2 - 1)|^r < \infty$$



**NO NONRENORMALIZABILITY**





---

# THANK YOU

---



**P . S . Another Fourier transformation is desired to see the results in proper coordinates.**

$$G(\varphi) = \int e^{-i2\pi \int \varphi(x) f(x) d^s x} F(f) \mathcal{D}(f)$$

**This task is open for others to contribute.**

# Suggested Publications

1 – *affine quantization*

*arXiv* : 2006.09156

$$[Q, D] = i\hbar Q$$

2 – *half – harmonic oscillators*

*arXiv* : 2005.08696

$$(p^2 + q^2), \quad q > 0$$

3 – *quantizing a special field*

*arXiv* : 2012.09991.

$$\varphi_4^4$$

 4 – **quantizing more fields**

***arXiv* : 2110 . 05952**

$$[\vec{\varphi}(x)^2 - 1]^p$$

*john.klauder@gmail.com*

# SOME FALSE HAMILTONIANS

## The classical Hamiltonian

$$H(p, q) = (p^2 + q^2)/2 \quad , \quad q > 0 \quad \rightarrow \quad P^\dagger \neq P$$

## False self – adjoint quantum Hamiltonians

$$\mathcal{H}_1(P^\dagger, P, Q) = [(P^\dagger + P)^2/4 + Q^2]/2$$

$$\mathcal{H}_2(P^\dagger, P, Q) = [(2P^\dagger - P)(2P - P^\dagger) + Q^2]/2$$

$$\mathcal{H}'_n(P^\dagger, P, Q) = [(P^{\dagger 4+n}/P^{2+n} + P^{4+n}/P^{\dagger 2+n})/2 + Q^2]/2$$

*with  $n = 0, 1, 2, 3, \dots$*

# SPIN QUANTIZATION

## Spin variable properties

$$[S_2, S_3] = i\hbar S_1, \quad S_1^2 + S_2^2 + S_3^2 = \hbar^2 s(s+1)I_{2s+1}, \quad s \in (1/2)\{1, 2, 3, \dots\}$$

$$S_3 |s, m\rangle = m\hbar |s, m\rangle, \quad m \in \{-s, \dots, s-1, s\}, \quad (S_1 + iS_2) |s, s\rangle = 0$$

## Spin coherent states

$$|\theta, \varphi\rangle \equiv e^{-i\varphi S_3/\hbar} e^{-i\theta S_2/\hbar} |s, s\rangle$$

$$|p, q\rangle \equiv e^{-i(q/(s\hbar)^{1/2})S_3/\hbar} e^{-i \cos^{-1}(p/(s\hbar)^{1/2})S_2/\hbar} |s, s\rangle$$

$$-\pi(s\hbar)^{1/2} < q \leq \pi(s\hbar)^{1/2}, \quad -(s\hbar)^{1/2} \leq p \leq (s\hbar)^{1/2}$$

$$d\sigma^2 = 2\hbar [\|d|\theta, \varphi\rangle\|^2 - |\langle\theta, \varphi|d|\theta, \varphi\rangle|^2]$$

$$= (s\hbar)[d\theta^2 + \sin^2(\theta)^2 d\varphi^2]$$

$$= (1 - p^2/s\hbar)^{-1} dp^2 + (1 - p^2/s\hbar) dq^2$$



**SQ**  $\rightarrow$  constant positive curvature  $:= (s\hbar)^{-1}$

---

**ADDED : – CANONICAL & AFFINE PATH INTEGRALS**

---

**BOOK: 2010 ( Birkhäuser)**

**"A Modern Approach to Functional Integration"**

**Chapter 8:**

**Continuous-Time Regularized Path Integrals**

**Sec 8.1: Wiener Measure Regularization of  
Phase Space Path Integrals**

**Sec 8.3: Continuous-Time Regularization of  
Affine Variable Path Integrals**



**Author**

**John R. Klauder**



## FAVORED COORDINATES – 2

**Dirac:** Cartesian coordinates should lead to  $\mathcal{H}(p, q) = H(p, q)$

$$\begin{aligned}
 |p, q\rangle &= e^{-iqP/\hbar} e^{ipQ/\hbar} |\omega\rangle, & (\omega Q + iP)|\omega\rangle &= 0 \\
 H(p, q) &= \langle p, q | \mathcal{H}(P, Q) | p, q \rangle, & & \mathbf{0} \\
 &= \langle \omega | \mathcal{H}(P + p, Q + q) | \omega \rangle = \mathcal{H}(p, q) + \mathcal{O}(\hbar; p, q) \\
 2\hbar [ \|d|p, q\rangle\|^2 - |\langle p, q | d|p, q\rangle|^2 ] &= \omega^{-1} dp^2 + \omega dq^2
 \end{aligned}$$

$$\begin{aligned}
 |p; q\rangle &= e^{ipQ/\hbar} e^{-i \ln(q)D/\hbar} |b\rangle, & [(Q - \mathbb{1}) + iD/b]|b\rangle &= 0 & \mathbf{-1/2b} \\
 H'(pq, q) &= \langle p; q | \mathcal{H}'(D, Q) | p; q \rangle, & q > 0 \\
 &= \langle b | \mathcal{H}'(D + pqQ, qQ) | b \rangle = \mathcal{H}'(pq, q) + \mathcal{O}'(\hbar; p, q) \\
 2\hbar [ \|d|p; q\rangle\|^2 - |\langle p; q | d|p; q\rangle|^2 ] &= b^{-1} q^2 dp^2 + bq^{-2} dq^2
 \end{aligned}$$

😊 CQ → flat ,    😊 AQ → constant negative curvature