

# Feature Extraction in Deep Learning and Image Processing

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# Outline

- 1 Overview
- 2 Approximation Properties of Neural Networks
- 3 Gabor Invariant Representation in Quantum Energy Regression

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# Overview

My dissertation consists of the following topics:

- **Approximation Properties of Neural Networks**
- Maximal Function Pooling in Convolutional Sparse Coding
- **Quantum Energy Regression Using Gabor Transform**
- Detection of Epithelial versus Mesenchymal Regions in 2D Images of Tumor Biopsies Using Shearlets

Due to the time constraint, I will discuss the topics in bold in this presentation.

# Outline

- 1 Overview
- 2 Approximation Properties of Neural Networks
- 3 Gabor Invariant Representation in Quantum Energy Regression

# Approximation Properties of Neural Networks

Deep Neural Networks (DNNs) and deep learning algorithms have achieved successful results in many areas of machine learning, and there has been growing interest in the theoretical study of DNNs.

Some important topics in the theoretical analysis of neural networks include:

- 1 Specification of the network topology to obtain certain approximation properties of functions;
- 2 The stability analysis of the network;
- 3 Study of the training algorithms to obtain desired convergence rate.

## Previous Works

- 1 Chui, C. K., Li, X., & Mhaskar, H. N. (1994). Neural networks for localized approximation. *Mathematics of Computation*, 63(208), 607-623.
- 2 Shaham, U., Cloninger, A., & Coifman, R. R. (2016). Provable approximation properties for deep neural networks. *Applied and Computational Harmonic Analysis*.
- 3 Bölcskei, H., Grohs, P., Kutyniok, G., & Petersen, P. (2017). Optimal approximation with sparsely connected deep neural networks. *preprint arXiv:1705.01714*.
- 4 Balan, R., Singh, M., & Zou, D. (2017). Lipschitz properties for deep convolutional networks. *arXiv preprint arXiv:1701.05217*.
- 5 Jacobs, R. A. (1988). Increased rates of convergence through learning rate adaptation. *Neural networks*, 1(4), 295-307.

# Universal Approximation Theorem

The most well-known early result is by Cybenko in 1989 states that:

*Any continuous function can be uniformly approximated by a continuous neural network having only one internal hidden layer and with arbitrary continuous sigmoidal nonlinearity.*

## Theorem (Cybenko, 1989)

*Let  $\sigma$  be any continuous discriminatory sigmoidal function. Then the finite sums*

$$G(x) = \sum_{k=1}^n c_k \sigma(w_k \cdot x + b_k), \quad (1)$$

*are dense in  $C(I_d)$ , where  $I_d$  is the unit cube in  $\mathbb{R}^d$ .*

Here  $\sigma$  is the sigmoidal activation function, defined as  $\sigma(u)$  with  $\lim_{u \rightarrow -\infty} \sigma(u) = 0$  and  $\lim_{u \rightarrow \infty} \sigma(u) = 1$ .



# Fourier Approximation

The number of neurons and number of layers required to yield an approximation rate of a given quantity is not addressed.

The first work to address this problem is by Barron in 1991:

## Theorem (Fourier Approximation, Barron, 1991)

Given a function  $f: \mathbb{R}^m \rightarrow \mathbb{R}$  with

$$C_f = \int_{\mathbb{R}^m} |\omega| |\hat{f}(\omega)| d\omega < \infty, \quad (2)$$

there exists a single layer artificial neural network (ANN) of  $N$  sigmoid units, s.t. the output of the network  $f_N$  satisfies

$$\|f - f_N\|_2 \leq \frac{C_f}{\sqrt{N}}, \quad (3)$$

with  $c_f$  proportional to  $C_f$ .

## Approximation Properties with Wavelets

Smooth functions defined on low-dimensional subspace can be high dimensional in ambient space. The goal is to find approximation rate related to the dimension of the manifold, not the ambient space.

### Theorem (Cloninger, Coifman, Shaham, 2015)

Let  $\Gamma \subset \mathbb{R}^m$  be a smooth  $d$ -dimensional manifold,  $f \in L^2(\Gamma)$  and let  $\epsilon > 0$  be an approximation level. Then if a network has at least 4 layers, there exists a sparsely-connected neural network with  $N$  total units where  $N = C_\Gamma m + C'_\Gamma d N_{f,\epsilon}$ , computing function  $f_N$  such that

$$\|f - f_N\|_2^2 < \epsilon, \quad (4)$$

where  $N_{f,\epsilon}$  depends on the complexity of  $f$  in terms of its local wavelet representation, and  $C_\Gamma$  on the curvature and dimension of the manifold  $\Gamma$ .

If  $f \in C^2(\Gamma)$  and has bounded Hessian, then

$$\|f - f_N\|_\infty = \mathcal{O}(N^{-\frac{2}{d}}).$$

## Construction of Wavelet Frame

- A wavelet like frame of  $\mathbb{R}^d$  is constructed in which the frame elements are built using rectified linear units. A rectified linear unit (ReLU) is defined as

$$\text{rect}(x) = \max\{0, x\}. \quad (6)$$

- Define a trapezoid-shaped function  $t: \mathbb{R} \rightarrow \mathbb{R}$  by

$$t(x) = \text{rect}(x + 3) - \text{rect}(x + 1) - \text{rect}(x - 1) + \text{rect}(x - 3). \quad (7)$$

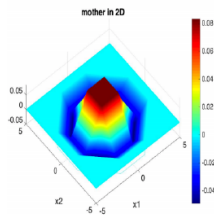
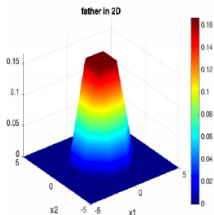
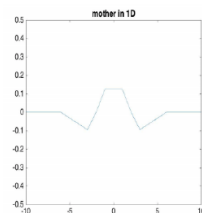
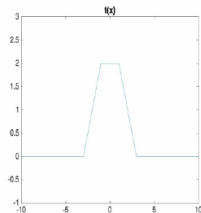
- Define the scaling function  $\phi: \mathbb{R}^d \rightarrow \mathbb{R}$  by

$$\phi(x) = \text{rect} \left( \sum_{j=1}^d t(x_j) - 2(d - 1) \right), \quad (8)$$

and normalize it so that the integral of  $\phi$  is 1.

- Let  $S_k(x, b) = 2^k \phi(2^{\frac{k}{d}}(x - b))$ . Define the mother wavelet as  $D_k(x, b) = S_k(x, b) - S_{k-1}(x, b)$ . The wavelets are defined as  $\psi_{k,b}(x) = 2^{-\frac{k}{d}} D_k(x, b)$ .

# Construction of Wavelet Frame



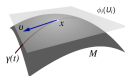
Combination 4 ReLU nodes per dimension

Combination 2 bump functions

Figure: Wavelets constructed from ReLUs (Source: U. Shaham, A. Cloninger, R. R. Coifman, 2015.)

# Approximation of Functions on Manifold

- Given a  $d$ -dimensional manifold  $\Gamma \subset \mathbb{R}^m$ , cover  $\Gamma$  by set of pairs  $\{(U_i, \phi_i)\}_{i=1}^{C_\Gamma}$ . Here  $\phi_i$  is the orthogonal projection from  $U_i$  onto  $H_i$ , where  $H_i$  is the hyperplane tangent to  $\Gamma$  at  $x_i$ .



- Use the corresponding partition of unity  $\{\eta_i\}$  to define

$$f_i(x) = f(x)\eta_i(x). \quad (9)$$

Define  $\hat{f} \in \mathbb{R}^d$  as

$$\hat{f}_i(x) = \begin{cases} f_i(\phi_i^{-1}(x)), & x \in \phi_i(U_i), \\ 0, & \text{otherwise.} \end{cases} \quad (10)$$

- For all  $x \in \Gamma$ , we have

$$\sum_{i: x \in U_i} \hat{f}_i(\phi_i(x)) = f(x). \quad (11)$$

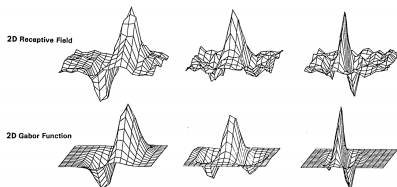
Assuming  $\hat{f}_i \in L^2(\mathbb{R}^d)$ , it can be expanded using wavelet frame.

## Gabor System in Machine Learning

- Current study of the approximation properties of neural networks is mainly in terms of affine transformations (e.g., wavelet transform).
- We extend the study of approximation properties of neural networks to functions in the modulation space.
- Gabor transform, or the short-time Fourier transform, arises naturally in analysis of 1D data such as speech and music.
- There have been algorithms developed for voiced-unvoiced speech discrimination in noise, where short segments of speech are modeled as a sum of basis functions from a Gabor dictionary.
- Gabor filters and Gabor wavelets are widely used as convolutional kernels for neural networks for 2D image processing.

## Motivation for Gabor System in Neural Networks

- There are cortical receptive fields that best respond to signals with orientation. They also capture spatial frequency information.
- Two-dimensional spatial linear filters are constrained by general uncertainty relations. The theoretical lower limit for the uncertainty is achieved by Gabor functions.
- Gabor filters have been used as units of a neural network to model the profile of cortical receptive fields (Daugman, 1988).



**Figure:** Illustration of experimentally measured 2D receptive-field profiles of three simple cells in cat striate cortex (top row). Each plot shows the excitatory or inhibitory effect of a small flashing light or dark spot on the firing rate of the cell, as a function of the  $(x, y)$  location of the stimulus. Best fit using Gabor functions (second row).

# Approximation Properties of Neural Networks

We design a novel type of neural network and prove its theoretical approximation rate to functions  $f$  based on the network topology. Informally, we show that

## Theorem (Informal)

*Let  $f \in L^2(\mathbb{R})$ , and let  $\delta > 0$  be an approximation level. There exists a 4-layer sparsely-connected neural network with  $N$  units where  $N = N(f, \delta)$ , computing  $f_N$  with*

$$\|f - f_N\|_\infty \leq \delta.$$

- We demonstrate a method to build a Gabor frame of  $L^2(\mathbb{R})$  based on a type of activation function in neural networks: rectified linear units.
- We construct a 4-layer neural network based on the Gabor frame and demonstrate its approximation properties.



# Gabor System

We first introduce the notion of Gabor system. Let the time shift  $T$  of a function  $g \in L^2(\mathbb{R}^d)$  by  $x \in \mathbb{R}^d$  be defined by

$$T_x g(t) = g(t - x),$$

and let the modulation of  $g$  by  $\omega \in \mathbb{R}^d$  be defined by

$$M_\omega g(t) = e^{2\pi i \omega \cdot t} g(t).$$

## Definition

A Gabor system  $G(g, \alpha, \beta)$  is the set of time-frequency shifts of a non-zero window function  $g \in L^2(\mathbb{R}^d)$  with lattice parameters  $\alpha, \beta > 0$ :

$$\{T_{\alpha k} M_{\beta n} g : k, n \in \mathbb{Z}^d\}.$$

# Frame

We introduce the notion of a frame. A frame can be thought of as a generalization of a basis that may be linearly dependent.

## Definition

A sequence  $\{e_j, j \in J\}$  in a separable Hilbert space  $\mathcal{H}$  is called a *frame* if there exist positive constants  $A, B > 0$  such that for all  $f \in \mathcal{H}$

$$A\|f\|^2 \leq \sum_{j \in J} |\langle f, e_j \rangle|^2 \leq B\|f\|^2.$$

Any two constants  $A, B$  where  $0 < A \leq B < \infty$  satisfying the above statement are called *frame bounds*. If  $A = B$ , then  $\{e_j : j \in J\}$  is called a *tight frame*.

A frame provides a redundant way of representing a signal.

## Construction of a Gabor Frame Using ReLUs

We build the window function  $g$  using rectified linear units. Rectified linear unit, or ReLU, is commonly used as activation function of the neuron of deep neural networks. A rectified linear unit is defined as:

$$\text{rect}(x) = \max\{0, x\}.$$

We define the window function  $g$  as a triangular-shaped window function:

$$g(x) = \text{rect}\left(\frac{1}{2}x + 1\right) - \text{rect}(x) + \text{rect}\left(\frac{1}{2}x - 1\right). \quad (12)$$

We take  $g$  as the window function of a Gabor system  $G(g, \alpha, \beta)$ .

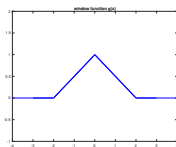


Figure: Window function  $g$  defined in (12).

# Construction of a Gabor Frame Using ReLUs

It can be shown that  $G(g, \alpha, \beta)$  is a Gabor frame with specific choices of  $\alpha$  and  $\beta$ .

## Lemma

*Given window function  $g(x) = \text{rect}(\frac{1}{2}x + 1) - \text{rect}(x) + \text{rect}(\frac{1}{2}x - 1)$ , the Gabor system  $G(g, \alpha, \beta)$  is a Gabor frame for  $L^2(\mathbb{R})$  with values of  $\alpha, \beta$  satisfying  $\alpha = 1$  and  $\beta \leq \frac{1}{6}$ .*

## Correlation Functions and Wiener Space

We introduce the following definitions for the proof.

### Definition

Given  $g, \gamma \in L^2(\mathbb{R}^d)$  and  $\alpha, \beta > 0$ , the *correlation functions* of the pair  $(g, \gamma)$  are defined to be

$$G_n(x) = G_n^{(\alpha, \beta)}(x) = \sum_{k \in \mathbb{Z}^d} \bar{g}(x - \frac{n}{\beta} - \alpha k) \gamma(x - \alpha k) \quad (13)$$

for  $n \in \mathbb{Z}^d$ .

Denote the cube  $[0, \alpha]^d$  by  $Q_\alpha$  and write  $Q = Q_1 = [0, 1]^d$  for the unit cube.

### Definition

A function  $g \in L^\infty(\mathbb{R}^d)$  belongs to the *Wiener space*  $W = W(\mathbb{R}^d)$  if

$$\|g\|_W = \sum_{n \in \mathbb{Z}^d} \operatorname{ess\,sup}_{x \in Q} |g(x + n)| < \infty. \quad (14)$$

# Existence of Gabor Frames

We introduce the following Theorem on conditions of existence of Gabor frame.

## Theorem (Walnut, 1992)

Suppose that  $g \in W(\mathbb{R}^d)$  and that  $\alpha > 0$  is chosen such that for constants  $a, b > 0$

$$a \leq \sum_{k \in \mathbb{Z}^d} |g(x - \alpha k)|^2 \leq b < \infty \quad x - \text{a.e.} \quad (15)$$

Then there exists value  $\beta_0 = \beta_0(\alpha) > 0$ , such that  $G(g, \alpha, \beta)$  is a Gabor frame for all  $\beta \leq \beta_0$ . Specifically, if  $\beta_0 > 0$  is chosen such that

$$\sum_{n \in \mathbb{Z}^d, n \neq 0} \|G_n^{(\alpha, \beta_0)}\|_\infty < \operatorname{ess\,inf}_{x \in \mathbb{R}^d} |G_0(x)|, \quad (16)$$

then  $G(g, \alpha, \beta)$  is a frame for all  $\beta \leq \beta_0$ .

# Proof of Lemma

By Theorem (Walnut, 1992), we need to show that  $g \in W(\mathbb{R})$  and that  $g$  satisfies

$$a \leq \sum_{k \in \mathbb{Z}^d} |g(x - \alpha k)|^2 \leq b < \infty \quad x - a.e. \quad (17)$$

for some  $a, b > 0$ .

We know that  $\text{supp } g = [-2, 2]$ , and that  $\sup|g| = 1$  by construction of  $g$ . Since  $x \in Q_1 = [0, 1]$ , and  $n \in \mathbb{Z}$ , we have

$$\|g\|_W = \sum_{n \in \mathbb{R}} \text{ess sup}_{x \in Q} |g(x + n)| \leq 4 \sup|g| = 4 < \infty. \quad (18)$$

We can choose  $\alpha = 1$  so that the infinite sum in (18) has only four non-zero terms for all  $x \in \mathbb{R}$ . Given any  $x \in \mathbb{R}$ , we have

$$\sum_{k \in \mathbb{Z}} |g(x - k)|^2 \leq 4 \sup|g|^2 = 4.$$

Thus the upper bound  $b$  is  $b = 4$ .

# Proof of Lemma

Note that the window function  $g$  can be expressed as a piecewise linear function:

$$g(x) = \begin{cases} \frac{1}{2}x + 1, & -2 \leq x \leq 0; \\ -\frac{1}{2}x + 1, & 0 < x \leq 2. \end{cases} \quad (19)$$

Hence in order to find the lower bound  $a$ , we simplify the sum in (17) for some  $x \in [-2, -1]$ , and rewrite the equation as

$$\begin{aligned} \sum_{k \in \mathbb{Z}} |g(x - k)|^2 &= |g(x)|^2 + |g(x + 1)|^2 + |g(x + 2)|^2 + |g(x + 3)|^2 \\ &= (x + 1)^2 + \frac{5}{2}. \end{aligned} \quad (20)$$

Therefore, the minimum is reached when  $x = -1$  and  $a = \frac{5}{2}$ .

Given  $\alpha = 1$ , we can choose  $\beta \leq \beta_0 = \frac{1}{6}$  so that the condition for  $\beta_0$  listed in Theorem (Walnut, 1992) is satisfied.



## Approximation Property

Now that we have introduced the Gabor frame, we will build a 4-layer neural network that can be used to approximate functions, and we show that

### Lemma (Approximation Property, Czaja, Li, 2017)

*Let  $f \in L^2(\mathbb{R})$  be  $s$  times continuously differentiable, and let  $\|f^{(s)}\|_1 < \infty$ . Then for every  $x \in \mathbb{R}$ , there exists a construction  $f_N$  using Gabor coefficients of modulations up to scale  $N$  such that:*

$$|f - f_N| = \mathcal{O}\left(\frac{1}{N^{s-1}}\right), \quad (21)$$

where  $|\cdot|$  denotes the point-wise absolute value.

# Construction of the Neural Network

We construct the neural network with specified number of nodes and layers as the following.

- The input layer:  $x \in \mathbb{R}$ .
- The first layer: all the shifts  $\{x - \alpha k\}$  of  $x$  for  $k \in [-K, K]$ .
- The second layer: shifted  $x$ 's are activated by modulated ReLUs of three types:  $\text{rect}(\frac{1}{2}x + 1)$ ,  $-\text{rect}(x)$ ,  $\text{rect}(\frac{1}{2}x - 1)$ , with each of them modulated by  $M_{\beta n}$  for  $n \in [-N, N]$ .
- The third layer: outputs from different ReLUs of the same modulation term are added together to obtain  $T_{\alpha k} M_{\beta n} g$  for all  $k \in [-K, K]$  and  $n \in [-N, N]$ .
- The output layer: outputs from the third layer are added to produce the final output function:

$$f_{K,N} = \sum_{|k| \leq K} \sum_{|n| \leq N} w_{k,n} T_{\alpha k} M_{\beta n} g. \quad (22)$$

# Construction of the Neural Network

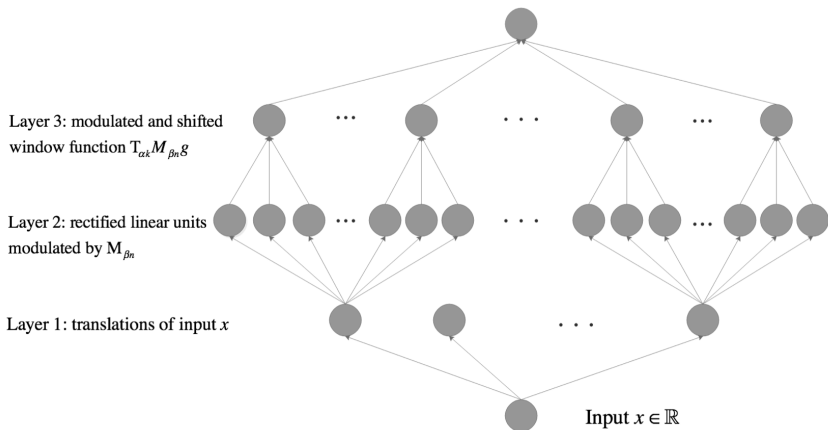


Figure: Illustration of the neural network

# Approximation Rate of Neural Networks

## Theorem (Czaja, Li, 2017)

*Let  $f \in L^2(\mathbb{R})$ . If  $f$  is at  $s$  times continuously differentiable for  $s \geq 2$ , then  $f$  can be approximated on the order of  $\mathcal{O}(\frac{1}{N^{s-1}})$  using a 4-layer network with  $(2K + 1)(4(2N + 1) + 1)$  units. There are  $2K + 1$  linear units in the first layer;  $(2K + 1) \times 3 \times (2N + 1)$  units in the second layer;  $(2K + 1)(2N + 1)$  linear units in the third layer and a single linear unit in the fourth layer. Here  $K$  is the number of translations and  $N$  is the number of modulations in the Gabor system used to construct the neural network.*

## Proof of Theorem

The output of the neural network can be written as

$$f_{K,N} = \sum_{|k| \leq K} \sum_{|n| \leq N} w_{k,n} T_{\alpha k} M_{\beta n} g \quad (23)$$

with weights  $w_{k,n}$ . It remains to prove the Lemma (approximation property). We have shown that  $G(g, \alpha, \beta)$  is a Gabor frame for  $L^2(\mathbb{R})$  with  $\alpha = 1$  and  $\beta \leq \frac{1}{6}$ .

### Proposition (Grochenig)

*If  $G(g, \alpha, \beta)$  is a frame for  $L^2(\mathbb{R}^d)$ , then there exists a dual window  $\gamma \in L^2(\mathbb{R}^d)$ , such that the dual frame of  $G(g, \alpha, \beta)$  is  $G(\gamma, \alpha, \beta)$ . Consequently, every  $f \in L^2(\mathbb{R}^d)$  possesses the expansions*

$$\begin{aligned} f &= \sum_{k,n \in \mathbb{Z}^d} \sum \langle f, T_{\alpha k} M_{\beta n} g \rangle T_{\alpha k} M_{\beta n} \gamma \\ &= \sum_{k,n \in \mathbb{Z}^d} \sum \langle f, T_{\alpha k} M_{\beta n} \gamma \rangle T_{\alpha k} M_{\beta n} g \end{aligned} \quad (24)$$

*with unconditional convergence in  $L^2(\mathbb{R}^d)$ .*

# Proof of Theorem

Let  $f_{K,N}$  be the approximation obtained by the first  $(2K + 1)(2N + 1)$  terms in the expansion:

$$f_{K,N} = \sum_{|k| \leq K} \sum_{|n| \leq N} \langle f, T_{\alpha k} M_{\beta n} \gamma \rangle T_{\alpha k} M_{\beta n} g. \quad (25)$$

Then for any  $x \in \mathbb{R}$ ,

$$\begin{aligned} |f(x) - f_{K,N}(x)| &= \left| \sum_{|k| > K} \sum_{|n| > N} \langle f, T_{\alpha k} M_{\beta n} \gamma \rangle T_{\alpha k} M_{\beta n} g(x) \right| \\ &\leq \sum_{|k| > K} \sum_{|n| > N} |\langle f, T_{\alpha k} M_{\beta n} \gamma \rangle| \cdot |e^{2\pi i \beta n \cdot (x - \alpha k)}| \cdot |g(x - \alpha k)|. \quad (26) \\ &\leq \sum_{|k| > K} \sum_{|n| > N} |\langle f, T_{\alpha k} M_{\beta n} \gamma \rangle| |g(x - \alpha k)|. \end{aligned}$$

# Proof of Theorem

Note that we can consider the Gabor coefficients as

$$\langle f, T_{\alpha k} M_{\beta n} \gamma \rangle = \hat{H}_{\alpha, \beta, k}(n), \quad \text{where} \quad H_{\alpha, \beta, k}(t_0) = \overline{f\left(\frac{1}{\beta} t_0 + \alpha k\right) \gamma\left(\frac{1}{\beta} t_0\right)}, \quad (27)$$

for  $t_0 \in \mathbb{R}$ . We need to discuss the properties of the dual window function  $\gamma$ .

In fact, from the work by Christensen, Kim, Kim on regularity of dual Gabor windows, we obtain the following Lemma:

### Lemma (Construction of Smooth Dual Window)

*Given window function  $g = \text{rect}(\frac{1}{2}x + 1) - \text{rect}(x) + \text{rect}(\frac{1}{2}x - 1)$ , there exists dual window function  $\gamma$  such that  $\gamma$  is smooth with finite support.*

## Proof of Theorem

Since  $f \in C^s(\mathbb{R})$ ,  $\gamma \in C^\infty(\mathbb{R})$  and  $\gamma$  has finite support, we have

$$|\hat{H}_{\alpha,\beta,k}(n)| < \frac{C_s}{n^s}, \quad (28)$$

and

$$\sum_{|n|>N} |\hat{H}_{\alpha,\beta,k}(n)| < \sum_{|n|>N} \frac{C_s}{n^s} < s \int_N^\infty \frac{2C_s}{n^s} dn = \frac{2sC_s}{N^{s-1}}, \quad (29)$$

where  $C_s$  is a constant proportional to the  $L^1$  norm of the  $s$ th derivative of  $H_{\alpha,\beta,k}$ . we plug in the bound in (29) back into (26) and obtain

$$|f - f_{K,N}| < \sum_{|k|>K} \frac{2sC_s}{N^{s-1}} |g(x - \alpha k)|. \quad (30)$$

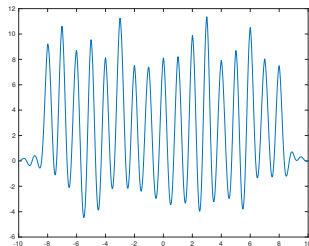
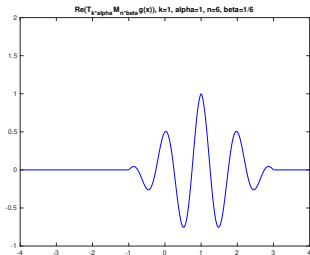
Note that  $g$  is compactly supported on  $[-2, 2]$ . Then, for any  $x$ , there are only finitely many  $k$ 's ( $\lceil \frac{4}{\alpha} \rceil$ ) with  $|k| > K$  such that  $g(x - \alpha k) \neq 0$ . Therefore,

$$|f - f_{K,N}| < \sum_{|k|>K} \frac{2sC_s}{N^{s-1}} |g(x - \alpha k)| < \frac{\lceil \frac{4}{\alpha} \rceil 2sC_s}{N^{s-1}}. \quad (31)$$



# Representation of Network Dictionary

We illustrate with figures the neurons of our neural network in the third layer and the output of our neural network with random weights.



**Figure:** Translated and modulated ReLUs (left); Output of the neural network with random weights when  $K = 8$ , and  $N = 8$  (right).

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# Background on Quantum Energy Regression

- Computation of energy of a single chemical molecule has become an essential topic in computational chemistry.
- A chemical molecule is represented by its state  $x = \{r_k, z_k\}_k$ , where  $r_k \in \mathbb{R}^3$  is the position of the  $k$ th nuclei and  $z_k > 0$  is the charge of  $k$ th nuclei.
- The molecular energy  $E$  can be written as a functional of the electron density  $\rho(u) \geq 0$  at every position  $u \in \mathbb{R}^3$ .
- The ground state energy  $f(x)$  which is unique for every molecule  $x$ , can be obtained by minimizing energy  $E$  over a set of electronic densities  $\rho$ :

$$f(x) = E(\rho_x) = \inf_{\rho} E(\rho).$$

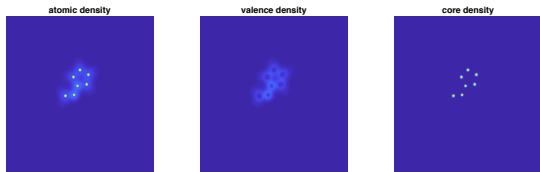


Figure: Approximated Electron Density  $\tilde{\rho}_x$

# Invariant Properties of Chemical Molecules

The quantum energy  $f(x)$  of molecule  $x$  must satisfy the following invariant properties:

- **Permutation invariance** The energy functional  $f(x)$  is invariant under permutation of indices  $\{k = 1, \dots, K\}$  in  $x = \{r_k, z_k\}_k$ .
- **Isometric invariance** The energy functional  $f(x)$  is invariant under global translations, rotations, and symmetries of atomic positions  $r_k$ .

In machine learning, one way to avoid direct computation of  $f(x)$  is to build set of dictionaries of functions  $\Phi(x) = \{\phi_i(x)\}_i$  such that the energy  $f(x)$  can be approximated by  $\tilde{f}(x)$ , where

$$\tilde{f}(x) = \langle w, \Phi(x) \rangle = \sum_i w_i \phi_i(x).$$

The weights  $\{w_i\}$  are computed such that the error  $\sum_{j=1}^n \left| \tilde{f}(x_j) - f(x_j) \right|^2$  on the training data set is minimized.

We intend to build a set of dictionaries from the electronic density of molecules with desired invariant properties.

# Gabor Transform on Electronic Density of Molecules

We take the Gabor transform  $G_\rho(t, \gamma)$  for the electronic density  $\rho$  by window function  $g$ :

$$G_\rho(t, \gamma) = \int \rho(x) \overline{g(x-t)} e^{-2\pi i x \gamma} dx,$$

where  $t$  is the center location of the window.

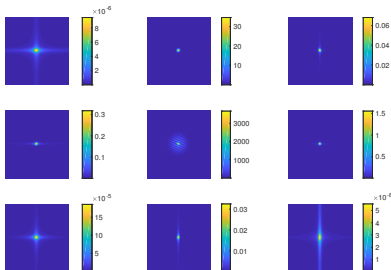


Figure: Gabor transform of electron density at different translation locations

# Translation Invariance

For translation invariance, we take the modulus of  $G_\rho(t, \gamma)$  and integrate over all  $t$ :  $G_\rho(\gamma) = \int_{\mathbb{R}^3} |G_\rho(t, \gamma)| dt$ .

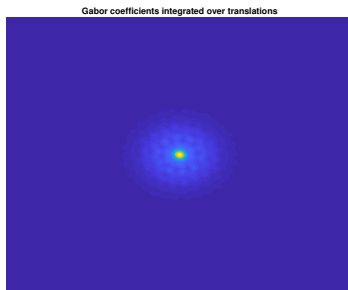


Figure: Gabor coefficients integrated over translations

# Rotation Invariance

In order to obtain rotation invariance in the representation, we take average of the coefficients across locations of the same distance to the center.

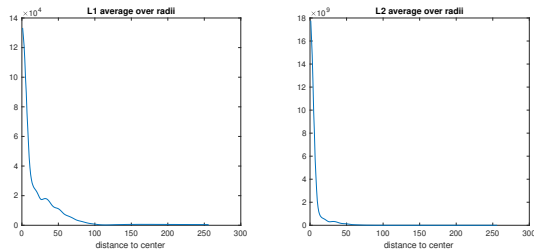


Figure: Rotation and translation invariant representation

To capture information of  $f$  of different widths at  $t$ , we adopt two different Gaussian functions  $g_1$  and  $g_2$ . The Gabor invariant dictionary is defined as:

$$\Phi_\rho = \{ \|\rho\|_1, \|G_{\rho,k\epsilon}^1\|_1, \|G_{\rho,k\epsilon}^1\|_2^2, \|G_{\rho,k\epsilon}^2\|_1, \|G_{\rho,k\epsilon}^2\|_2^2 \}_{0 \leq k \leq \epsilon^{-2}} \quad (32)$$

# Quantum Energy Regression

We use sparse orthogonal least squares regression in dictionaries  $\Phi(x) = \{\phi_k(x)\}_k$ . We compare our results with state-of-the-art methods:

	$\bar{M}$	RMSE	MAE
Coulomb Matrix		6.7 $\pm$ 2.8	14.8 $\pm$ 12.2
Fourier	73 $\pm$ 27	6.7 $\pm$ 0.7	8.5 $\pm$ 0.9
Wavelet	38 $\pm$ 13	6.9 $\pm$ 0.6	9.1 $\pm$ 0.8
Scattering 16	74	6.9	9.0
<b>Gabor</b>	<b>71<math>\pm</math>31</b>	<b>5.3<math>\pm</math>0.3</b>	<b>7.0<math>\pm</math>0.6</b>
Scattering 17	107 $\pm$ 41	3.2 $\pm$ 0.1	4.5 $\pm$ 0.2



Table: Average Error  $\pm$  Standard Deviation over the five folds in kcal/mol

The Gabor invariant representation is promising for its extend-ability to 3D.








# Thank You!

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