

Nonlinear Analysis with Frames. Part I: Injectivity Results

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Table of Contents:

1 Problem Formulation

2 Topology of \hat{V}

3 Classes $\mathcal{S}^{p,q}$

4 Realification of H

5 Injectivity Results

Table of Contents

- 1 Problem Formulation
- 2 Topology of \hat{V}
- 3 Classes $\mathcal{S}^{p,q}$
- 4 Realification of H
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Problem Formulation

The phase retrieval problem

- Let $H = \mathbb{C}^n$ and $V \subset H$ a real subspace. The quotient space $\hat{H} = \mathbb{C}^n / T^1$, with classes induced by $x \sim y$ if there is real φ with $x = e^{i\varphi}y$. Set $\hat{V} = \{\hat{x}, x \in V\}$.

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- Frame $\mathcal{F} = \{f_1, \dots, f_m\} \subset \mathbb{C}^n$ and

$$\alpha: \hat{H} \rightarrow \mathbb{R}^m, \quad \alpha(x) = (|\langle x, f_k \rangle|)_{1 \leq k \leq m}.$$

$$\beta: \hat{H} \rightarrow \mathbb{R}^m, \quad \beta(x) = (|\langle x, f_k \rangle|^2)_{1 \leq k \leq m}.$$

The frame is said *phase retrievable with respect to V* (or that it gives phase retrieval for V) if α (or β) restricted to V is injective.

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The frame is said *phase retrievable with respect to V* (or that it gives phase retrieval for V) if α (or β) restricted to V is injective.

- The general *phase retrieval problem* a.k.a. *phaseless reconstruction*: Decide when a given frame is phase retrievable, and, if so, find an algorithm to recover x from $y = \alpha(x)$ (or from $y = \beta(x)$) up to a global phase factor. Additionally find universal bounds on performance of any inversion algorithm.

Problem Formulation

Injectivity Results

- Our Problems Today: When is \mathcal{F} phase retrievable.
- Want a general framework that covers both the real and complex case.
 - 1 Obtain conditions when $V = \mathbb{R}^n$ (real case);
 - 2 Obtain conditions when $V = \mathbb{C}^n$ (complex case)

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 - 1 Obtain conditions when $V = \mathbb{R}^n$ (real case);
 - 2 Obtain conditions when $V = \mathbb{C}^n$ (complex case)
 - 3 Find a minimal cardinality of phase retrievable frames.

Table of Contents

- 1 Problem Formulation
- 2 Topology of \hat{V}**
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Topology of \hat{V}

Topological Structures

Let $H = \mathbb{C}^n$ and $V \subset H$ a real subspace. The quotient space $\hat{H} = \mathbb{C}^n / \mathcal{T}^1$, with classes induced by $x \sim y$ if there is real φ with $x = e^{i\varphi}y$. Set $\hat{V} = \{\hat{x}, x \in V\}$.

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Set $\hat{V} = \{\hat{x}, x \in V\}$.

Topologically:

$$\hat{V} = \{0\} \cup ((0, \infty]) \times \mathbb{P}(V)$$

where $\mathbb{P}(V)$ denotes the projective space associated to V .

The interior subset

$$\overset{\circ}{\hat{V}} = \hat{V} \setminus \{0\} = ((0, \infty]) \times \mathbb{P}(V)$$

is a real analytic manifold of real dimension $1 + \dim_{\mathbb{R}} \mathbb{P}(V)$.

Topology of \hat{V}

Topological Structures

- Complex case $V = \mathbb{C}^n$.

$$\hat{\mathbb{C}}^n = \{0\} \cup \left((0, \infty) \times \mathbb{C}\mathbb{P}^{n-1} \right)$$

with

$$\mathring{\mathbb{C}}^n = \hat{\mathbb{C}}^n \setminus \{0\} = (0, \infty) \times \mathbb{C}\mathbb{P}^{n-1}$$

a real analytic manifold of real dimension $2n - 1$.

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a real analytic manifold of real dimension $2n - 1$.

- Real case $V = \mathbb{R}^n$.

$$\hat{\mathbb{R}}^n = \{0\} \cup \left((0, \infty) \times \mathbb{R}\mathbb{P}^{n-1} \right)$$

with

$$\hat{\mathbb{R}}^{\circ n} = \hat{\mathbb{R}}^n \setminus \{0\} = (0, \infty) \times \mathbb{R}\mathbb{P}^{n-1}$$

a real analytic manifold of real dimension n .

Topology of \hat{V}

Topological Structures

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Specifically let

$$S^{p,q}(V) = \{T \in Sym(V) , T \text{ has at most } p \text{ pos.eigs. and } q \text{ neg.eigs}\}$$

Then:

$$\kappa_{\beta} : \hat{V} \rightarrow \mathcal{S}^{1,0} , \hat{x} \mapsto xx^* , \text{ is an embedding.}$$

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$Sym(H)$ is a real Hilbert space with scalar product $\langle T, S \rangle_{HS} = trace\{TS\}$.

\hat{V} is isomorphic (one-to-one and onto) to $\mathcal{S}^{1,0}(V)$.

Key Identity:

$$\beta(x)_k = |\langle x, f_k \rangle|^2 = \langle \kappa_\beta(\hat{x}), F_k \rangle_{HS}$$

where $F_k = f_k f_k^*$.

Metric Space Structures

The matrix-norm induced metric and the natural metric structures

Fix $1 \leq p \leq \infty$. The *matrix-norm induced distance*

$$d_p : \hat{H} \times \hat{H} \rightarrow \mathbb{R}, \quad d_p(\hat{x}, \hat{y}) = \|xx^* - yy^*\|_p$$

with the p -norm of the singular values. In the case $p = 2$ we obtain

$$d_2(x, y) = \sqrt{\|x\|^4 + \|y\|^4 - 2|\langle x, y \rangle|^2}$$

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Fix $1 \leq p \leq \infty$. The *natural metric*

$$D_p : \hat{H} \times \hat{H} \rightarrow \mathbb{R}, \quad D_p(\hat{x}, \hat{y}) = \min_{\varphi} \|x - e^{i\varphi}y\|_p$$

with the usual p -norm on \mathbb{C}^n . In the case $p = 2$ we obtain

$$D_2(\hat{x}, \hat{y}) = \sqrt{\|x\|^2 + \|y\|^2 - 2|\langle x, y \rangle|}$$

Metric Space Structures

Distinct Structures

Two different structures: topologically equivalent, BUT the metrics are NOT equivalent:

Lemma (BZ15)

The identity map $i : (\hat{H}, D_p) \rightarrow (\hat{H}, d_p)$, $i(x) = x$ is continuous but it is not Lipschitz continuous. Likewise, the identity map $i : (\hat{H}, d_p) \rightarrow (\hat{H}, D_p)$, $i(x) = x$ is continuous but it is not Lipschitz continuous. Hence the induced topologies on (\hat{H}, D_p) and (\hat{H}, d_p) are the same, but the corresponding metrics are not Lipschitz equivalent.

Table of Contents

1 Problem Formulation

2 Topology of \hat{V}

3 Classes $\mathcal{S}^{p,q}$

4 Realification of H

5 Injectivity Results

Classes $\mathcal{S}^{p,q}$

General properties; Witt's decomposition

The following lemma summarizes basic properties of $\mathcal{S}^{p,q}$.

Lemma (Bal13)

- ① For any $p_1 \leq p_2$ and $q_1 \leq q_2$, $\mathcal{S}^{p_1, q_1} \subset \mathcal{S}^{p_2, q_2}$;
- ② For any nonnegative integers p, q the following disjoint decomposition holds true

$$\mathcal{S}^{p,q} = \bigcup_{r=0}^p \bigcup_{s=0}^q \hat{\mathcal{S}}^{r,s} \quad (3.1)$$

where by convention $\hat{\mathcal{S}}^{p,q} = \emptyset$ for $p + q > n$.

- ③ For any $p, q \geq 0$,

$$-\mathcal{S}^{p,q} = \mathcal{S}^{q,p} \quad (3.2)$$

- ④ For any linear operator $T : H \rightarrow H$ (symmetric or not, invertible or not) and nonnegative integers p, q ,

$$T^* \mathcal{S}^{p,q} T \subset \mathcal{S}^{p,q} \quad (3.3)$$

Classes $\mathcal{S}^{p,q}$

General properties; Witt's decomposition

Lemma (cont'd)

⑤ (Witt's decomposition) For any nonnegative integers p, q, r, s ,

$$\mathcal{S}^{p,q} + \mathcal{S}^{r,s} = \mathcal{S}^{p,q} - \mathcal{S}^{s,r} = \mathcal{S}^{p+r,q+s} \quad (3.4)$$

$\hat{\mathcal{S}}^{p,q} = \{T \in \mathcal{S}^{p,q} \text{ have exactly } p \text{ positive eigs and } q \text{ negative eigs}\}$

Classes $\mathcal{S}^{p,q}$ Class $\mathcal{S}^{1,0}$ Lemma (Space $\mathcal{S}^{1,0}$)

The following hold true:

- ① $\mathring{\mathcal{S}}^{1,0} = \{xx^* , x \in H, x \neq 0\}$;
- ② $\mathcal{S}^{1,0} = \{xx^* , x \in H\} = \{0\} \cup \{xx^* , x \in H, x \neq 0\}$;
- ③ The set $\mathring{\mathcal{S}}^{1,0}$ is a real analytic manifold in $\text{Sym}(n)$ of real dimension $2n - 1$. As a real manifold, its tangent space at $X = xx^*$ is given by

$$T_X \mathring{\mathcal{S}}^{1,0} = \left\{ \llbracket x, y \rrbracket := \frac{1}{2}(xy^* + yx^*) , y \in \mathbb{C}^n \right\}. \quad (3.5)$$

The \mathbb{R} -linear embedding $\mathbb{C}^n \mapsto T_X \mathring{\mathcal{S}}^{1,0}$ given by $y \mapsto \llbracket x, y \rrbracket$ has null space $\{iax , a \in \mathbb{R}\}$.

Classes $\mathcal{S}^{p,q}$ Class $\mathcal{S}^{1,1}$ Lemma (Space $\mathcal{S}^{1,1}$)

The following hold true:

- ① $\mathcal{S}^{1,1} = \mathcal{S}^{1,0} - \mathcal{S}^{1,0} = \mathcal{S}^{1,0} + \mathcal{S}^{0,1} = \{ \llbracket x, y \rrbracket, x, y \in H \};$
- ② For any vectors $x, y, u, v \in H,$

$$xx^* - yy^* = \llbracket x + y, x - y \rrbracket = \llbracket x - y, x + y \rrbracket \quad (3.6)$$

$$\llbracket u, v \rrbracket = \frac{1}{4}(u + v)(u + v)^* - \frac{1}{4}(u - v)(u - v)^* \quad (3.7)$$

Additionally, for any $T \in \mathcal{S}^{1,1}$ let $T = a_1 e_1 e_1^* - a_2 e_2 e_2^*$ be its spectral factorization with $a_1, a_2 \geq 0$ and $\langle e_i, e_j \rangle = \delta_{i,j}$. Then

$$T = \llbracket \sqrt{a_1} e_1 + \sqrt{a_2} e_2, \sqrt{a_1} e_1 - \sqrt{a_2} e_2 \rrbracket.$$

Classes $\mathcal{S}^{p,q}$ Class $\mathcal{S}^{1,1}$ Lemma (Space $\mathcal{S}^{1,1}$ -cont'd)

- ③ The set $\hat{\mathcal{S}}^{1,1}$ is a real analytic manifold in $\text{Sym}(n)$ of real dimension $4n - 4$. Its tangent space at $X = \llbracket x, y \rrbracket$ is given by

$$T_X \hat{\mathcal{S}}^{1,1} = \{ \llbracket x, u \rrbracket + \llbracket y, v \rrbracket = \frac{1}{2}(xu^* + ux^* + yv^* + vy^*) , u, v \in \mathbb{C}^n \}.$$

The \mathbb{R} -linear embedding $\mathbb{C}^n \times \mathbb{C}^n \mapsto T_X \hat{\mathcal{S}}^{1,1}$ given by

$(u, v) \mapsto \llbracket x, u \rrbracket + \llbracket y, v \rrbracket$ has null space

$\{ a(ix, 0) + b(0, iy) + c(y, -x) + d(iy, ix) , a, b, c, d \in \mathbb{R} \}.$

Classes $\mathcal{S}^{p,q}$ Class $\mathcal{S}^{1,1}$ Lemma (Space $\mathcal{S}^{1,1}$ -cont'd)

④ Let $T = \llbracket u, v \rrbracket \in \mathcal{S}^{1,1}$. Then its eigenvalues and p -norms are:

$$a_+ = \frac{1}{2} \left(\text{real}(\langle u, v \rangle) + \sqrt{\|u\|^2 \|v\|^2 - (\text{imag}(\langle u, v \rangle))^2} \right) \geq 0$$

$$a_- = \frac{1}{2} \left(\text{real}(\langle u, v \rangle) - \sqrt{\|u\|^2 \|v\|^2 - (\text{imag}(\langle u, v \rangle))^2} \right) \leq 0$$

$$\|T\|_1 = \sqrt{\|u\|^2 \|v\|^2 - (\text{imag}(\langle u, v \rangle))^2}$$

$$\|T\|_2 = \sqrt{\frac{1}{2} \left(\|u\|^2 \|v\|^2 + (\text{real}(\langle u, v \rangle))^2 - (\text{imag}(\langle u, v \rangle))^2 \right)}$$

$$\|T\|_\infty = \frac{1}{2} \left(|\text{real}(\langle u, v \rangle)| + \sqrt{\|u\|^2 \|v\|^2 - (\text{imag}(\langle u, v \rangle))^2} \right)$$

Classes $\mathcal{S}^{p,q}$ Class $\mathcal{S}^{1,1}$ Lemma (Space $\mathcal{S}^{1,1}$ -cont'd)

5 Let $T = xx^* - yy^* \in \mathcal{S}^{1,1}$. Then its eigenvalues and p -norms are:

$$a_+ = \frac{1}{2} \left(\|x\|^2 - \|y\|^2 + \sqrt{(\|x\|^2 + \|y\|^2)^2 - 4|\langle x, y \rangle|^2} \right) \geq 0$$

$$a_- = \frac{1}{2} \left(\|x\|^2 - \|y\|^2 - \sqrt{(\|x\|^2 + \|y\|^2)^2 - 4|\langle x, y \rangle|^2} \right) \leq 0$$

$$\|T\|_1 = \sqrt{(\|x\|^2 + \|y\|^2)^2 - 4|\langle x, y \rangle|^2}$$

$$\|T\|_2 = \sqrt{\|x\|^4 + \|y\|^4 - 2|\langle x, y \rangle|^2}$$

$$\|T\|_\infty = \frac{1}{2} \left(\left| \|x\|^2 - \|y\|^2 \right| + \sqrt{(\|x\|^2 + \|y\|^2)^2 - 4|\langle x, y \rangle|^2} \right)$$

Table of Contents

- 1 Problem Formulation
- 2 Topology of \hat{V}
- 3 Classes $\mathcal{S}^{p,q}$
- 4 Realification of H**
- 5 Injectivity Results

Realification

Realification of H

First we describe the realification of H and V . Consider the \mathbb{R} -linear map $\mathbf{j}: \mathbb{C}^n \rightarrow \mathbb{R}^{2n}$ defined by

$$\mathbf{j}(x) = \begin{bmatrix} \text{real}(x) \\ \text{imag}(x) \end{bmatrix}$$

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Let $\mathcal{V} = \mathbf{j}(V)$ be the embedding of V into \mathbb{R}^{2n} , and let Π denote the orthogonal projection (with respect to the real scalar product on \mathbb{R}^{2n}) onto \mathcal{V} .

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Let J denote the following orthogonal antisymmetric $2n \times 2n$ matrix

$$J = \begin{bmatrix} 0 & -I_n \\ I_n & 0 \end{bmatrix} \quad (4.8)$$

where I_n denotes the identity matrix of order $n \times n$. Note the transpose $J^T = -J$, the square $J^2 = -I_{2n}$ and the inverse $J^{-1} = -J$.

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where I_n denotes the identity matrix of order $n \times n$. Note the transpose $J^T = -J$, the square $J^2 = -I_{2n}$ and the inverse $J^{-1} = -J$.

Note: $\mathbf{j}(ix) = J\mathbf{j}(x)$ for every $x \in H$.

Realification

Realification of frame vectors

Each vector f_k of the frame set $\mathcal{F} = \{f_1, \dots, f_m\}$ gets mapped into a vector in \mathbb{R}^{2n} denoted by φ_k , and a symmetric operator in $\mathcal{S}^{2,0}(\mathbb{R}^{2n})$ denoted by Φ_k :

$$\varphi_k = \mathbf{j}(f_k) = \begin{bmatrix} \text{real}(f_k) \\ \text{imag}(f_k) \end{bmatrix}, \quad \Phi_k = \varphi_k \varphi_k^T + J \varphi_k \varphi_k^T J^T \quad (4.9)$$

Note that when $f_k \neq 0$:

- The symmetric form Φ_k has rank 2 and belongs to $\mathcal{S}^{2,0}$.
- Its spectrum has two distinct eigenvalues: $\|\varphi_k\|^2 = \|f_k\|^2$ with multiplicity 2, and 0 with multiplicity $2n - 2$.
- Furthermore, $\frac{1}{\|\varphi_k\|^2} \Phi_k$ is a rank 2 projection.

Realification

Relationships

Let $\xi = \mathbf{j}(x)$ and $\eta = \mathbf{j}(y)$ denote the realifications of vectors $x, y \in \mathbb{C}^n$. Then a bit of algebra shows that

$$\begin{aligned} \langle x, f_k \rangle &= \langle \xi, \varphi_k \rangle + i \langle \xi, J\varphi_k \rangle \\ \langle F_k, xx^* \rangle_{HS} = \text{trace}(F_k xx^*) &= |\langle x, f_k \rangle|^2 = \langle \Phi_k \xi, \xi \rangle = \text{trace}(\Phi_k \xi \xi^T) \\ &= \langle \Phi_k, \xi \xi^T \rangle_{HS} \\ \langle F_k, \llbracket x, y \rrbracket \rangle_{HS} = \text{trace}(F_k \llbracket x, y \rrbracket) &= \text{real}(\langle x, f_k \rangle \langle f_k, y \rangle) = \langle \Phi_k \xi, \eta \rangle \\ &= (\text{trace}(\Phi_k \llbracket \xi, \eta \rrbracket)) = \langle \Phi_k, \llbracket \xi, \eta \rrbracket \rangle_{HS} \end{aligned}$$

where $F_k = \llbracket f_k, f_k \rrbracket = f_k f_k^* \in \mathcal{S}^{1,0}(H)$.

Table of Contents

- 1 Problem Formulation
- 2 Topology of \hat{V}
- 3 Classes $\mathcal{S}^{p,q}$
- 4 Realification of H
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Injectivity Results

Notations

The following objects play an important role in subsequent theory:

$$R : \mathbb{C}^n \rightarrow \text{Sym}(\mathbb{C}^n) \quad , \quad R(x) = \sum_{k=1}^m |\langle x, f_k \rangle|^2 f_k f_k^* \quad , \quad x \in \mathbb{C}^n \quad (5.10)$$

$$\mathcal{R} : \mathbb{R}^{2n} \rightarrow \text{Sym}(\mathbb{R}^{2n}) \quad , \quad \mathcal{R}(\xi) = \sum_{k=1}^m \Phi_k \xi \xi^T \Phi_k \quad , \quad \xi \in \mathbb{R}^{2n} \quad (5.11)$$

$$\mathcal{S} : \mathbb{R}^{2n} \rightarrow \text{Sym}(\mathbb{R}^{2n}) \quad , \quad \mathcal{S}(\xi) = \sum_{k: \Phi_k \xi \neq 0} \frac{1}{\langle \Phi_k \xi, \xi \rangle} \Phi_k \xi \xi^T \Phi_k \quad , \quad \xi \in \mathbb{R}^{2n} \quad (5.12)$$

$$\mathcal{Z} : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n \times m} \quad , \quad \mathcal{Z}(\xi) = \left[\begin{array}{c|c|c} \Phi_1 \xi & \cdots & \Phi_m \xi \end{array} \right] \quad , \quad \xi \in \mathbb{R}^{2n} \quad (5.13)$$

Note $\mathcal{R} = \mathcal{Z} \mathcal{Z}^T$.

Injectivity Results

Induced Linear operator

Recall the key identity:

$$|\langle x, f_k \rangle|^2 = \text{trace}(F_k X) = \langle F_k, X \rangle_{HS}$$

where $X = xx^*$.

Injectivity Results

Induced Linear operator

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where $X = xx^*$.

Thus the nonlinear map β induces a linear map on the real vector space $\text{Sym}(\mathbb{C}^n)$ of symmetric forms over \mathbb{C}^n :

$$\mathbb{A} : \text{Sym}(\mathbb{C}^n) \rightarrow \mathbb{R}^m, \quad \mathbb{A}(T) = (\langle T, F_k \rangle_{HS})_{1 \leq k \leq m} = (\langle T f_k, f_k \rangle)_{1 \leq k \leq m}$$

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Similarly it induces a linear map on $\text{Sym}(\mathbb{R}^{2n})$ the space of symmetric forms over $\mathbb{R}^{2n} = \mathbf{j}(\mathbb{C}^n)$ that is denoted by \mathcal{A} :

$$\begin{aligned} \mathcal{A} : \text{Sym}(\mathbb{R}^{2n}) \rightarrow \mathbb{R}^m, \quad \mathcal{A}(T) &= (\langle T, \Phi_k \rangle_{HS})_{1 \leq k \leq m} \\ &= (\langle T \varphi_k, \varphi_k \rangle + \langle T J \varphi_k, J \varphi_k \rangle)_{1 \leq k \leq m} \end{aligned}$$

Injectivity Results

General Form

Necessary and sufficient condition for injectivity that works in both the real and the complex case:

Theorem (HMW11,BCMN13a,Bal13a)

Let $H = \mathbb{C}^n$ and let V be a real vector space that is also a subset of H , $V \subset H$. Denote $\mathcal{V} = \mathbf{j}(V)$ the realification of V . Assume \mathcal{F} is a frame for V . The following are equivalent:

- ① The frame \mathcal{F} is phase retrievable with respect to V ;
- ② $\ker \mathbb{A} \cap (\mathcal{S}^{1,0}(V) - \mathcal{S}^{1,0}(V)) = \{0\}$;
- ③ $\ker \mathbb{A} \cap \mathcal{S}^{1,1}(V) = \{0\}$;
- ④ $\ker \mathbb{A} \cap (\mathcal{S}^{2,0}(V) \cup \mathcal{S}^{1,1}(V) \cup \mathcal{S}^{0,2}) = \{0\}$;
- ⑤ There do not exist vectors $u, v \in V$ with $\llbracket u, v \rrbracket \neq 0$ so that

$$\text{real}(\langle u, f_k \rangle \langle f_k, v \rangle) = 0 \quad , \quad \forall 1 \leq k \leq m$$

Injectivity Results

General Form - cont'd

Theorem (cont'd)

- ⑥ $\ker \mathcal{A} \cap (\mathcal{S}^{1,0}(\mathcal{V}) - \mathcal{S}^{1,0}(\mathcal{V})) = \{0\};$
- ⑦ $\ker \mathcal{A} \cap \mathcal{S}^{1,1}(\mathcal{V}) = \{0\};$
- ⑧ *There do not exist vectors $\xi, \eta \in \mathcal{V}$, with $[[\xi, \eta]] \neq 0$ so that*

$$\langle \Phi_k \xi, \eta \rangle = 0 \quad , \quad \forall 1 \leq k \leq m$$

Injectivity Results

Real Case

Theorem (BCE06,Bal12a)

(The real case) Assume $\mathcal{F} \subset \mathbb{R}^n$. The following are equivalent:

- ① \mathcal{F} is phase retrievable for $V = \mathbb{R}^n$;
- ② $R(x) = \sum_{k=1}^m |\langle x, f_k \rangle|^2 f_k f_k^T$ is invertible for every $x \in \mathbb{R}^n$, $x \neq 0$;
- ③ There do not exist vectors $u, v \in \mathbb{R}^n$ with $u \neq 0$ and $v \neq 0$ so that

$$\langle u, f_k \rangle \langle f_k, v \rangle = 0 \quad , \quad \forall 1 \leq k \leq m$$

- ④ For any disjoint partition of the frame set $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$, either \mathcal{F}_1 spans \mathbb{R}^n or \mathcal{F}_2 spans \mathbb{R}^n .

Injectivity Results

Real Case-cont'd

Recall a set $\mathcal{F} \subset \mathbb{C}^n$ is called *full spark* if any subset of n vectors is linearly independent.

Corollary (BCE06)

Assume $\mathcal{F} \subset \mathbb{R}^n$. Then

- ① If \mathcal{F} is phase retrievable for \mathbb{R}^n then $m \geq 2n - 1$;
- ② If $m = 2n - 1$, then \mathcal{F} is phase retrievable if and only if \mathcal{F} is full spark;

Injectivity Results

Complex Case

Theorem (BCMN13a,Bal13a)

(The complex case) The following are equivalent:

- ① \mathcal{F} is phase retrievable for $H = \mathbb{C}^n$;
- ② $\text{rank}(\mathcal{Z}(\xi)) = 2n - 1$ for all $\xi \in \mathbb{R}^{2n}$, $\xi \neq 0$;
- ③ $\dim \ker \mathcal{R}(\xi) = 1$ for all $\xi \in \mathbb{R}^{2n}$, $\xi \neq 0$;
- ④ There do not exist $\xi, \eta \in \mathbb{R}^{2n}$, $\xi \neq 0$ and $\eta \neq 0$ so that $\langle J\xi, \eta \rangle = 0$
and

$$\langle \Phi_k \xi, \eta \rangle = 0 \quad , \quad \forall 1 \leq k \leq m$$

Injectivity Results

Cardinality

In terms of cardinality, here is what we know:

Theorem (Mil67, HMW11, BH13, Bal13b, MV13, CEHV13, KE14, Viz15)

HMW11 *If \mathcal{F} is a phase retrievable frame for \mathbb{C}^n then*

$$m \geq 4n - 2 - 2b + \begin{cases} 2 & \text{if } n \text{ odd and } b = 3 \pmod{4} \\ 1 & \text{if } n \text{ odd and } b = 2 \pmod{4} \\ 0 & \text{otherwise} \end{cases}$$

where $b = b(n)$ denotes the number of 1's in the binary expansion of $n - 1$.

BH13 *For any positive integer n there is a frame with $m = 4n - 4$ vectors so that \mathcal{F} is phase retrievable for \mathbb{C}^n ;*

Injectivity Results

Cardinality-cont'd

Theorem

- EHV13** *If $m \geq 4n - 4$ then a (Zariski) generic frame is phase retrievable on \mathbb{C}^n ;*
- Bal13b** *The set of phase retrievable frames is open in $\mathbb{C}^n \times \dots \times \mathbb{C}^n$. In particular phase retrievable property is stable under small perturbations.*
- EHV13** *If $n = 2^k + 1$ and $m \leq 4m - 5$ then \mathcal{F} cannot be phase retrievable for \mathbb{C}^n .*
- Viz15** *For $n = 4$ there is a frame with $m = 11 < 4n - 4 = 12$ vectors that is phase retrievable.*